



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of  
WATANABE et al.

Notice of Allowance  
Mailed: 07/08/2004

Confirmation No.: 5084

Application No.: 09/853,038 ✓

Art Unit: 3679

Filed: May 11, 2001

Examiner: Binda, Gregory J.

For: TRIPOD CONSTANT VELOCITY  
UNIVERSAL JOINT

Docket No.: 100725-00040

**SECOND REQUEST FOR ACKNOWLEDGEMENT OF  
CONSIDERATION OF DISCLOSED INFORMATION**

**Mail Stop: Issue Fee**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: August 31, 2004

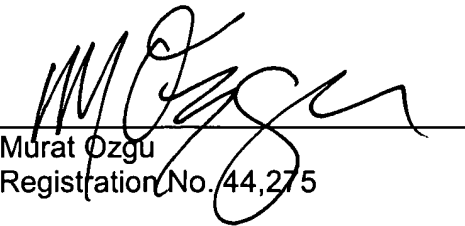
Sir:

Information Disclosure Statements with Forms PTO-1449 were filed in the above-captioned patent application on April 22 and July 20, 2004. Applicants have not yet received copies of the Forms PTO-1449 initialed by the Examiner to acknowledge the cited disclosed information was considered by the Examiner.

The Examiner is requested to initial and return to the undersigned copies of the subject Forms PTO-1449. For the convenience of the Examiner, copies of the Information Disclosure Statements and PTO forms 1449 are attached, together with copies of the PTO date-stamped postcards evidencing receipt of the Information Disclosure Statements by the Patent Office.

Should there be any questions concerning this communication, please telephone the undersigned attorney at the telephone number set forth below. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

  
Murat Ozgu  
Registration No. 44,275

Customer Number: 004372  
ARENT FOX PLLC  
1050 Connecticut Avenue, N.W., Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810  
MO:elp

Enclosures: IDS w/ Form 1449 dated April 22, 2004  
IDS w/ Form 1449 dated July 20, 2004  
Copies of date-stamped PTO postcards



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 5084

Yukihiro WATANABE et al.

Attorney Docket No: 100725-00040

Serial Number: 09/853,038

Group Art Unit: 3679

Filed: May 11, 2001

Examiner: Gregory John Binda

For: TRIPOD CONSTANT VELOCITY UNIVERSAL JOINT

**INFORMATION DISCLOSURE STATEMENT**

Director of the U.S. PTO  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: April 22, 2004

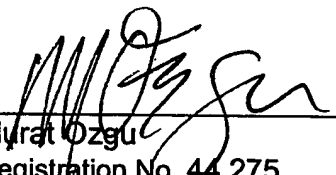
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
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- ☒ 4. The references were cited in a counterpart foreign application. A copy of the Japanese Office Action mailed April 16, 2004 is attached for the Examiner's information.

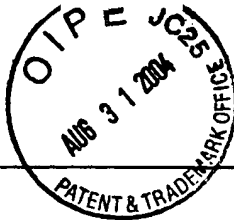
Respectfully submitted,

  
Murat Ozgu  
Registration No. 44,275

Customer No. 004372  
ARENT FOX PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810

MO/elp  
Enclosures: Japanese Office Action mailed 4/16/2004  
PTO Form 1449 w/3 references

237112\_1.DOC



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

100725-00040

SERIAL NO.

09/853,038

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

WATANABE et al.

FILING DATE

May 11, 2001

GROUP

3679

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO PART.		
	AG	11-210776	08/03/1999	JP				X	
	AH	60-53220	03/26/1985	JP				X	
	AI	2001-32850	02/06/2001	JP				X	
	AJ								
	AK								
	AL								

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	
	AO	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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**Attorney Docket No. 100725-00040**  
**U.S. Patent Application No. 09/853,038**  
**Filed: May 11, 2001**  
**Applicants: WATANABE et al.**  
**Papers filed herewith on: April 22, 2004**  
**A Check in the amount of: \$**



**The following papers are attached:**  
**Information Disclosure Statement; PTO 1449; JP Office Action mailed**  
**4/16/2004; 3 references**

**COMMISSIONER OF PATENTS**

**MO/elp**

**Due Date: 7/16/2004**

*me*



## PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No. 5084

WATANABE et al.

Atty. Docket No. 100725-00040

Serial No.: 09/853,038

Examiner: Binda, Gregory John

Filed: May 11, 2001

Art Unit: 3679

For: TRIPOD CONSTANT VELOCITY UNIVERSAL JOINT

### INFORMATION DISCLOSURE STATEMENT

#### MAIL STOP ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date: July 20, 2004


Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

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- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
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- ☒ 4. The reference(s) was/were cited in a counterpart foreign application. A copy of the foreign Office Action dated July 2, 2004 is attached for the Examiner's information.
- ☒ 5. An English-language Abstract(s) of the non-English language reference(s) are attached hereto.

Respectfully submitted,

  
\_\_\_\_\_  
Murat Ozgu  
Registration No. 44,275

Customer No. 004372  
ARENT FOX PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810

MO/elp  
Enclosures: PTO Form 1449 w/2 references  
Japanese Office Action dated July 2, 2004  
252557\_1.DOC





FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

100725-00040

SERIAL NO.

09/853,038

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APPLICANT

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	AA						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
	AG	11-210776	03/08/1999	JP					X
	AH	55-017765	07/02/1980	JP					X
	AI								
	AJ								
	AK								
	AL								

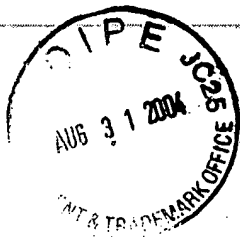
## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	
	AO	

EXAMINER

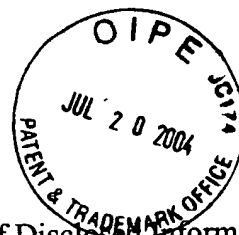
DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Attorney Docket No. 100725-00040  
U.S. Patent Application No. 09/853,038  
Filed: May 11, 2001  
Applicants: WATANABE et al.  
Papers filed herewith on: July 20, 2004  
A Check in the amount of: \$



The following papers are attached:  
Request for Acknowledgement of Consideration of Disclosed Information;  
Copies of 4/22/04 IDS and PTO 1449 and date-stamped PTO postcard  
receipt

**COMMISSIONER OF PATENTS**

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Due Date:

pt